



DEPARTMENT OF CORRECTION

AGENCY PURPOSE

The Connecticut Department of Correction (DOC) exists to protect the public, protect staff and ensure a secure, safe and

humane environment in a climate that promotes professionalism, respect, integrity, dignity and excellence.

RECENT HIGHLIGHTS

- The Department of Correction has effectively and efficiently dealt with the unprecedented growth of its inmate population. On average over the past fiscal year, a new population record has been set every week. In March, the agency for the first time exceeded 20,000 inmates under its supervision, which includes offenders on conditional release to the community. The 21,000 milestone was reached just five months later in September. As a result of holding more serious offenders for longer periods of time, crime is down 40% in Connecticut over the past decade, with serious crime 35% below the national average.
- The Department of Correction, in a partnership with the Torrington Police Department, announced the establishment of a new initiative to supervise community offenders. The Community Enforcement and Police Officers involved will work closely together to monitor offenders in the Torrington area to ensure offender compliance with community release stipulations and assist them with the reintegration process. This partnership is also in place with the cities of Bridgeport, Danbury, Hartford, New Haven, Norwalk and Stamford.
- FOCUS II, the second phase of a unique, multi level program, was established in conjunction with the National Institute of Justice to address the issue of job related stress among correctional employees. Through focus groups, non-traditional classes and a web site, FOCUS II enhances the stress reduction skills of correctional supervisors so that they may improve the quality of work life for all staff.
- York Correctional Institution became the first female pre-trial/sentenced facility in the country to receive accreditation from the National Commission on Correctional Health Care. An audit by a panel of health care specialists from around the country considered not only the medical unit, but also inmate health care throughout the facility. The accomplishment was the result of a collaborative effort between the Department of Correction and the University of Connecticut Health Services.
- The Bergin Correctional Institution announced the opening of its first Job Center developed to assist offenders in conducting employment searches and ultimately obtaining employment prior to release. The Job Center allows inmates to work with staff in conducting employment searches by accessing the Department of Labor's web site that lists available jobs throughout the state.
- The Department of Justice, United States Attorney's Office, District of Connecticut recognized the Department of Correction's Field and Security Division staff for its commitment to "protect the public" and for assistance in breaking the number of major criminal cases. Staff was recognized for their role in significant prosecutions in the past year by the U.S. Attorney's Office.
- When comparing FY 1994 with FY 2002, the department continues to make strides in reducing offender related statistics:
 - Escapes are down 88%.
 - Inmate-on-staff assaults have dropped 55%.
 - Inmate-on-inmate assaults have declined 43%.
 - Furlough violations have plunged 98%.
 - Offender disciplinary infractions are down 45%.
- The Governor's Recommended Budget includes legislation and funding changes to enable an additional 1,000 inmates to be housed out of state – a total of 1,500. Phase in of these inmates during the beginning of the 2004 fiscal year is expected to save \$1.6 million in direct inmate costs in that year and over \$9 million in FY05. Increased savings in the second year of the biennium also includes elimination of one half year of facility related costs – staff and startup costs – from elimination of an expansion to connect the Osborn and Northern correctional institutions. In addition, one-time capital costs of \$40 million and a 20-year total of \$17.6 million in debt service costs will also be eliminated.

The functions of the Board of Parole and the Board of Pardons are being merged with the Department of Correction to effect economies.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services	2003-2004	2004-2005
• Annualization of FY 03 Reductions	-5,912,128	-5,912,128
• Remove Inflation	-2,440,626	-5,035,417
• Transfer Equipment to CEPF	-2,112,726	-2,122,681
• Layoffs Necessitated by Failure to Achieve Concessions	-8,382,014	-8,505,405
• Eliminate Funding for Unsettled Collective Bargaining Contracts	-4,134,554	-15,112,917
• Remove Accruals Pursuant to Governor's Early Retirement Incentive Plan	-1,436,139	-1,479,225
• Send 1,000 Additional Inmates Out of State Offset by In-State Savings	-1,605,599	-9,219,825

Total inmates incarcerated in the Commonwealth of Virginia would increase from 500 to 1500. Eliminates need for planned expansion in Somers connecting the Northern and Osborn Correctional Institutions - a cost avoidance of \$61 million - \$40 million in one time construction costs and \$21 million in debt service interest over a 20 year period.

Within Current Services

• Annualize Operating Costs for MacDougall CI in Suffield	4,535,100	4,535,100
• Funding for Somers Expansion <i>Half year funding for 174 staff and facility costs for an expansion connecting the Northern and Osborn Correctional Institutions. Anticipated opening of January 2005.</i>	0	5,794,785
• Adjust Direct Inmate Costs for Increased Population <i>Latest facility population projections are 20,310 for 6/30/04 and 20,935 for 6/30/05. These adjustments are for inmates incarcerated within the State.</i>	4,350,974	8,834,541

Reallocations or Transfers

• Merger of Community Program Funding <i>Reallocate funding from the Community Residential and Nonresidential Services accounts to create a new Community Support Services account.</i>	0	0
• Consolidate the Board of Pardons with DOC	37,434	37,434
• Consolidate the Board of Parole with DOC	10,063,215	10,193,279

New or Expanded Services

	<u>2003-2004</u>	<u>2004-2005</u>	<u>2005-2006</u>
• Community Enforcement Pilot - Durational Positions <i>Increase the authorized position count by 29 to make 24 Correction Officers First Class and 5 Correctional Sergeants permanent employees.</i>	0	0	0
• Increase Private Provider rates by 1.5% effective January 1, 2004	124,643	249,286	249,286

AGENCY SUMMARY

Personnel Summary	2002-2003 Total Authorized	2003-2004 Change From 2002-2003	2003-2004 Total Recommended	2004-2005 Change From 2003-2004	2004-2005 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	7,082	-72	7,010	0	7,010
<u>Other Positions Equated to Fulltime</u>					
General Fund	71	0	71	0	71
Financial Summary	2002-2003 Estimated	2003-2004 Current Services	2003-2004 Total Recommended	2004-2005 Current Services	2004-2005 Total Recommended
Personal Services	347,186,031	379,470,756	352,161,771	401,281,579	354,179,848
Other Expenses	64,969,459	71,667,951	67,375,721	76,135,874	68,686,145
<u>Capital Outlay</u>					
Equipment	217,295	2,197,902	85,176	2,302,845	57,164
<u>Other Current Expenses</u>					
Out of State Beds	12,305,406	12,074,382	33,072,602	12,277,655	36,752,665
Stress Management	0	100,000	100,000	0	0
Workers' Compensation Claims	21,638,655	25,279,484	25,279,484	27,870,735	27,489,315
Inmate Medical Services	73,319,908	81,222,493	76,976,171	85,748,217	80,806,317
TOTAL - Other Current Expenses	107,263,969	118,676,359	135,428,257	125,896,607	145,048,297
<u>Pmts to Other than Local Govts</u>					
Aid to Paroled and Discharged Inmates	42,750	13,878	8,750	14,267	8,750
Legal Services to Prisoners	768,595	790,116	768,595	812,239	768,595
Volunteer Services	170,758	195,043	170,758	200,505	170,758
Community Residential Services	15,730,753	18,061,654	0	18,567,380	0
Community Non-Residential Services	1,264,896	1,527,932	0	1,570,714	0
Community Support Services	0	0	20,804,069	0	20,954,854
TOTAL - Pmts to Other than Local Govts	17,977,752	20,588,623	21,752,172	21,165,105	21,902,957
TOTAL - General Fund	537,614,506	592,601,591	576,803,097	626,782,010	589,874,411
<u>Additional Funds Available</u>					
Special Funds, Non-Appropriated	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Federal Contributions	2,902,629	1,731,895	1,731,895	1,300,000	1,300,000
Private Contributions	1,017,687	725,000	725,000	725,000	725,000
TOTAL - All Funds Net	543,534,822	597,058,486	581,259,992	630,807,010	593,899,411



BOARD OF PARDONS

AGENCY PURPOSE

- To provide jurisdiction over the granting of commutations of punishment or release, either with conditions or absolute, in the case of any person convicted of any offense against the state other than motor vehicle.
- To decide whether to commute the sentence of a person sentenced to death to a lesser penalty.
- To consider pardons based on mercy reviewing the nature of the offense, time since the occurrence, the person's behavior since the offense, lack of criminal record since the offense and any other pertinent information.
- The Board of Pardons consists of five members nominated by the Governor with the consent of the General Assembly.

The functions of the Board of Pardons are being merged with the Department of Correction to effect economies.

RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	<u>2003-2004</u>	<u>2004-2005</u>
• Annualization of FY 03 Reductions	-1,707	-1,707
• Remove Inflation	-956	-2,079
<i>Reallocations or Transfers</i>		
• Transfer the Board of Pardons to the Department of Correction	-37,534	-37,534

AGENCY SUMMARY

<i>Financial Summary</i>	2002-2003 Estimated	2003-2004 Current Services	2003-2004 Total Recommended	2004-2005 Current Services	2004-2005 Total Recommended
Other Expenses	32,434	40,097	0	41,220	0
<i>Capital Outlay</i>					
Equipment	100	100	0	100	0
TOTAL - General Fund	32,534	40,197	0	41,320	0



BOARD OF PAROLE

AGENCY PURPOSE

To protect the public:

- By releasing only those offenders who will likely live and remain at liberty without violating the law.
- By releasing prisoners only under those conditions which will maximize their chances of achieving non-criminal lives.
- By returning to prison any parolee who fails to comply with the conditions of parole or poses a danger to the community.
- By sharing and exchanging information with all areas of the criminal justice system in order to ensure that

responsible decisions are made and the welfare of society is protected.

To give victims a voice:

- By ensuring victims are notified of pending hearings and are advised of their rights and options within the parole process.
- By ensuring that concerns of crime victims are heard and given due consideration.

The functions of the Board of Parole are being merged with the Department of Correction to effect economies.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	2003-2004	2004-2005
• Annualization of FY 03 Reductions	-412,668	-412,668
• Remove Inflation	-144,072	-292,144
• Transfer Equipment to CEPF	-15,566	-15,566
• Layoffs Necessitated by Failure to Achieve Concessions	-205,376	-206,729
• Eliminate Funding for Unsettled Collective Bargaining Contracts	-45,238	-267,899
• Remove Accruals Pursuant to Governor's Early Retirement Incentive Plan	-197,899	-209,353

Reallocations or Transfers

• Merge the Board of Parole with DOC	-10,292,725	-10,431,938
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New or Expanded Services

	2003-2004	2004-2005	2005-2006
• Increase Private Provider Rates by 1.5% Effective January 1, 2004	26,142	52,284	52,284

AGENCY SUMMARY

Personnel Summary	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Total Authorized	Change From 2002-2003	Total Recommended	Change From 2003-2004	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	86	-86	0	0	0
<u>Other Positions Equated to Fulltime</u>					
General Fund	6	-6	0	0	0
Financial Summary	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	5,313,380	5,914,971	0	6,263,510	0
Other Expenses	1,308,853	1,374,109	0	1,410,701	0
<u>Capital Outlay</u>					
Equipment	23,664	16,911	0	16,911	0

Budget Summary

Pmts to Other than Local Govts

Community Residential Services	1,753,930	2,003,378	0	2,059,473	0
Community Non-Residential Services	1,731,742	1,978,033	0	2,033,418	0
TOTAL - Pmts to Other than Local Govts	<u>3,485,672</u>	<u>3,981,411</u>	<u>0</u>	<u>4,092,891</u>	<u>0</u>
TOTAL - General Fund	10,131,569	11,287,402	0	11,784,013	0

Additional Funds Available

Bond Funds	67,612	0	0	0	0
Federal Contributions	318,901	0	0	0	0
Private Contributions	104,910	0	0	0	0
TOTAL - All Funds Net	<u>10,622,992</u>	<u>11,287,402</u>	<u>0</u>	<u>11,784,013</u>	<u>0</u>



DEPARTMENT OF CHILDREN AND FAMILIES

AGENCY PURPOSE

Improving child safety, ensuring that more children have permanent families and advancing the overall well-being of children is the central focus of the Department of Children and Families (DCF). DCF protects children who are being abused or neglected, strengthens families by giving parents and caretakers tools to better raise children, and builds on existing strengths in families and communities to help children facing emotional and behavioral challenges, including those committed to the Department by the juvenile justice system. To meet these goals, the Department's activities include:

- Protecting children and youth who are reported as abused or neglected.
- Strengthening families so children can remain safely at home.
- Finding permanent homes for children and youth through reunification with their families, subsidized guardianship with a relative, adoption or independent living.

- Helping foster and relative parents and other substitute caregivers provide temporary care when children cannot reside at home.
- Providing appropriate mental health and substance abuse assessment, treatment and aftercare to address the behavioral health needs of Connecticut's children.
- Restructuring and reforming the delivery of children's behavioral health services in collaboration with the departments of Social Services and Mental Health and Addiction Services.
- Addressing the specialized needs of infants and young children as well as the unique behavioral health challenges facing children in the foster care system.
- Meeting the behavioral health needs of youth committed to the Department as delinquents in the juvenile justice system.

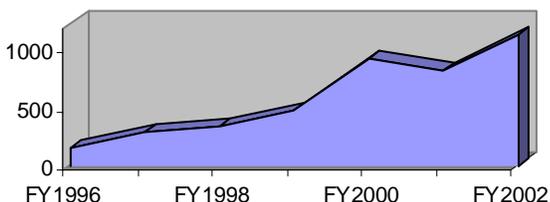
RECENT HIGHLIGHTS

Connecticut Community KidCare – This reform of the system for providing children behavioral health services in their communities began statewide in FY 2002. More children are receiving access to the level of service they need when they need it. In FY 2002, more than 20,000 children received community based behavioral health services. At the end of FY 2002, there were approximately 10 percent fewer children forced to leave their homes and communities to receive needed care than two years earlier.

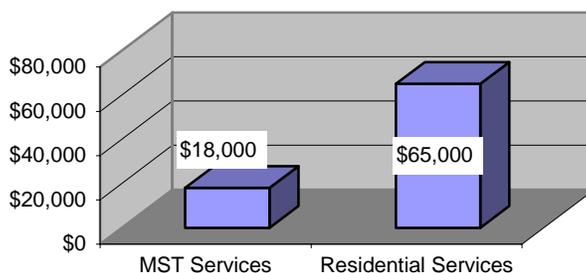
Safe and Permanent Homes -- DCF continues an unusually successful effort to increase permanency for children in care through adoption and subsidized guardianship. In FY 2002, DCF found 1,103 new permanent homes for children in need, an increase of 655% compared to FY 1996 and an increase of 38 percent compared to FY 2001.

counseling program, known as Multi-Systemic Therapy, is providing cost effective services to more than 200 families with youth in the juvenile justice system. MST is as effective as residential services in reducing recidivism but is less than one-third the cost. A year of MST services costs approximately \$18,000 compared to more than \$65,000 for residential services.

655% Increase in New Permanent Homes Found



Cost Effective Home-Based Services for Juvenile Justice Youth



Behavioral health services for youth in the Juvenile Justice System – An intensive in home family based therapy and

RECOMMENDED SIGNIFICANT CHANGES**Reductions to Current Services**

	2003-2004	2004-2005
• Annualization of FY 03 Reductions	-2,946,812	-2,946,812
• Remove Inflation	-13,879,243	-24,537,206
• Transfer Equipment to CEPF	-1,752,810	-2,480,200
• Layoffs Necessitated by Failure to Achieve Concessions	-3,429,501	-3,441,589
• Eliminate Funding for Unsettled Collective Bargaining Contracts	-11,892,088	-19,280,542
• Remove Accruals Pursuant to Governor's Early Retirement Incentive Plan	-677,689	-708,186
• Savings Due to Closure of Long Lane School	-3,571,281	-3,571,281
• Implement Cost Efficiencies at the Wilderness School	-100,000	-100,000
• Reduce the Number of Regions from Five to Three	-231,618	-231,618

Reallocations or Transfers

• Realign Funding for Supportive Housing for Recovering Families	0	0
• Realign Funding for Multi-Systemic Therapy (MST)	0	0
• Realign Funding to Implement the Behavioral Health Partnership beginning July 1, 2004	0	0
• Transfer Funding from the Judicial Department for Forensic Psychiatric Services	1,227,000	1,227,000

Transfer funding from the Judicial Department for ongoing Forensic Psychiatric Unit at Riverview Hospital. The agencies have agreed to a Memorandum of Understanding for the continued availability of at least six beds for Judicial Department clients.

New or Expanded Services

	2003-2004	2004-2005	2005-2006
• Continue Funding for Intermediate Forensic Evaluations	723,600	991,814	991,814
• Continue Funding for Covenant to Care	150,000	150,000	150,000
• Increase Private Provider rates by 1.5% effective January 1, 2004	2,104,631	5,171,369	5,171,369

AGENCY SUMMARY

Personnel Summary	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Total	Change From	Total	Change From	Total
	Authorized	2002-2003	Recommended	2003-2004	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3,536	-79	3,457	0	3,457
Federal Contributions	38	0	38	0	38
Private Contributions	18	0	18	0	18
<u>Other Positions Equated to Fulltime</u>					
General Fund	96	-3	93	0	93
<u>Financial Summary</u>					
	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Estimated	Current	Total	Current	Total
Personal Services	206,771,106	228,027,387	209,852,839	239,493,048	213,887,461
Other Expenses	37,475,779	39,582,477	37,558,944	41,356,725	38,151,567
<u>Capital Outlay</u>					
Equipment	1,000	1,753,810	1,000	2,481,200	1,000
<u>Other Current Expenses</u>					
Short Term Residential Treatment	653,517	671,895	657,601	690,708	198,996
Substance Abuse Screening	1,671,002	1,761,671	1,681,446	1,810,998	1,696,067
Workers' Compensation Claims	6,517,753	6,841,962	5,841,962	7,181,254	6,181,254
Local Systems of Care	1,176,690	1,780,173	1,766,002	1,900,754	1,873,530
Behavioral Health Partnership	0	0	0	0	93,482,059
TOTAL - Other Current Expenses	10,018,962	11,055,701	9,947,011	11,583,714	103,431,906

Pmts to Other than Local Govts

Health Assessment and Consultation	262,067	273,003	263,705	280,649	265,998
Gts Psychiatric Clinics for Children	13,094,105	14,141,725	12,692,420	14,537,694	12,802,789
Day Treatment Centers for Children	5,313,000	5,891,106	5,346,206	6,056,057	1,617,810
Juvenile Justice Outreach Services	2,601,344	2,704,150	3,045,537	2,779,866	3,344,258
Child Abuse and Neglect Intervention	5,292,852	5,509,926	5,325,932	5,664,204	5,372,245
Community Emergency Services	175,538	182,738	176,635	187,855	178,171
Community Based Preventive Services	2,737,447	2,846,063	2,754,556	2,925,754	2,778,509
Family Violence Outreach and Counseling	498,828	516,162	498,927	530,615	503,265
Support for Recovering Families	1,768,209	1,818,273	2,559,104	1,869,184	2,581,357
No Nexus Special Education	7,420,582	7,628,358	7,466,961	7,841,952	7,531,891
Family Preservation Services	6,460,895	6,725,874	6,501,276	6,914,198	6,557,808
Substance Abuse Treatment	3,185,553	3,336,429	3,581,375	3,429,848	2,466,799
Child Welfare Support Services	348,560	361,951	350,739	372,086	284,748
Board and Care for Children - Adoption	44,332,425	52,886,761	51,005,380	58,442,781	55,874,745
Board and Care for Children - Foster	73,920,766	81,935,639	77,841,438	85,206,467	81,034,674
Board & Care - Residential	125,753,487	137,817,698	134,384,921	144,045,274	52,704,425
Individualized Family Supports	7,475,324	7,781,907	7,506,695	7,999,801	7,242,667
Community KidCare	13,308,617	14,709,258	14,398,046	15,121,116	10,596,494
Covenant to Care	0	0	150,938	0	152,250
TOTAL - Pmts to Other than Local Govts	<u>313,949,599</u>	<u>347,067,021</u>	<u>335,850,791</u>	<u>364,205,401</u>	<u>253,890,903</u>
TOTAL - General Fund	<u>568,216,446</u>	<u>627,486,396</u>	<u>593,210,585</u>	<u>659,120,088</u>	<u>609,362,837</u>
<u>Additional Funds Available</u>					
Federal Contributions	15,975,052	11,171,067	11,171,067	10,489,088	10,489,088
Private Contributions	5,562,973	2,666,943	1,439,943	1,474,358	247,358
TOTAL - All Funds Net	<u>589,754,471</u>	<u>641,324,406</u>	<u>605,821,595</u>	<u>671,083,534</u>	<u>620,099,283</u>

COUNCIL TO ADMINISTER THE CHILDREN'S TRUST FUND

AGENCY PURPOSE

The mandate of the Children's Trust Fund is to prevent child abuse and neglect and to establish resources in communities that support and strengthen family functioning.

- Establish and fund programs aimed at reducing the incidence of child abuse and neglect and improving parental relationships and involvement with children.
- Launch initiatives to reduce child maltreatment in various settings: day care centers, schools, residential facilities.

- Encourage existing agencies to incorporate a prevention component or focus into their ongoing work.
- Create a knowledgeable work force that is well trained in prevention strategies and approaches.
- Create a network of agencies that provide prevention services to families across the continuum of risk for abuse and neglect.

RECENT HIGHLIGHTS

- Launching Help Me Grow, a prevention initiative that identifies and refers young children with behavioral health, development and psychosocial needs to programs designed to address them.
- Funding several nationally recognized prevention programs that support high-risk groups of parents; those involved with domestic violence and substance abuse, addressing mental health concerns and parents of children with special needs and disabilities.
- Establishing, with the University of Connecticut School of Family Studies a statewide training and credential program for practitioners that work with families. This training will emphasize family interaction and raise standards of practice in the field.
- Implementing a Community Partnership program with the Hartford Office of DCF and various organizations in the

city. The Community Partnership seeks to engage the full community in efforts to protect children and prevent abuse and neglect.

- Implementing a child abuse and neglect prevention program that reaches the families of very young truants. The University of Hartford is studying this approach.
- The Healthy Families Initiative has been expanded to nineteen of the twenty-nine hospitals in the state. The program provides education and support for all interested new parents and intensive home visiting for parents identified at the most risk.
- Offer training in parenting approaches to human services providers who work with teen fathers throughout Connecticut.
- The Healthy Families Initiative will enhance its efforts to prevent shaken baby syndrome.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Annualization of FY 03 Reductions
- Remove Inflation

2003-2004 2004-2005

-570,150 -570,150
-165,971 -336,590

New or Expanded Services

- Increase Private Provider rates by 1.5% effective January 1, 2004

2003-2004 2004-2005 2005-2006

35,109 70,218 70,218

AGENCY SUMMARY

Financial Summary	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Other Current Expenses					
Children's Trust Fund	5,201,754	5,944,731	5,243,719	6,122,642	5,286,120
TOTAL - General Fund	5,201,754	5,944,731	5,243,719	6,122,642	5,286,120
Additional Funds Available					
Federal Contributions	489,839	390,000	390,000	390,000	390,000
Private Contributions	240,252	226,875	226,875	245,000	245,000
TOTAL - All Funds Net	5,931,845	6,561,606	5,860,594	6,757,642	5,921,120